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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES *et al.*

Appl. No. 09/458,299

Filed: December 10, 1999

For: **Inducing Cellular Immune  
Responses to HER2/neu Using  
Peptide and Nucleic Acid  
Compositions**

Confirmation No. 8698

Art Unit: 1644

Examiner: Schwadron, R. B.

Atty. Docket: 2060.0140000/EKS/HCC

**Revocation of Prior Power of Attorney and Appointment of New  
Attorneys of Record**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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AUG 26 2002  
TECH CENTER 1600/2900

The undersigned, having express authority to represent **Epimmune Inc.**, the assignee of the entire right, title, and interest in the above-captioned application, by assignment filed at the U.S. Patent and Trademark Office on March 21, 2000, at reel 010720, frame 0431, hereby revokes all powers of attorney heretofore given in the above-captioned application and appoints as his attorneys Joseph J. Kenny, Registration No. 43,710 and the attorneys associated with Customer Number 28393 those attorneys currently being: Robert Greene Sterne, Esq., Registration No. 28,912; Edward J. Kessler, Esq., Registration No. 25,688; Jorge A. Goldstein, Esq., Registration No. 29,021; Samuel L. Fox, Esq., Registration No. 30,353; David K.S. Cornwell, Esq., Registration No. 31,944; Robert W. Esmond, Esq., Registration No. 32,893; Tracy-Gene G. Durkin, Esq., Registration No. 32,831; Michele A. Cimballa, Esq., Registration No. 33,851; Michael B. Ray, Esq., Registration No. 33,997; Robert E. Sokohl, Esq., Registration No. 36,013; Eric K. Steffe, Esq., Registration No. 36,688; Michael Q. Lee, Esq., Registration No. 35,239; Steven R.

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The undersigned hereby grants said attorneys the power to insert on this Power of Attorney any further identification that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office.

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Direct telephone calls to (202) 371-2600.

FOR: Epimmune Inc.

SIGNATURE: \_\_\_\_\_

BY: Alessandro D. Sette, Ph.D.

TITLE: Vice President and Chief Scientific Officer

DATE: July 25, 2002



## Certificate Under 37 C.F.R. § 3.73(b)

Applicant/Patent Owner: FIKES *et al.*

Application No./Patent No.: 09/458,299

Filed/Issue Date: December 10, 1999

Entitled: Inducing Cellular Immune Responses to HER2/neu Using Peptide and Nucleic Acid Compositions

Epimmune Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest, or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☒ An Assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 010720, Frame 0431, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (*i.e.*, the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

Signature: \_\_\_\_\_

Name: Alessandro D. Sette, Ph.D.

Title: Vice President and Chief Scientific Officer

Date: July 25, 2002

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August 23, 2002

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Washington, D.C. 20231

Art Unit 1644

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Re: U.S. Utility Patent Application  
Appl. No. 09/458,299; Filed: December 10, 1999  
For: **Inducing Cellular Immune Responses to HER2/neu Using Peptide and Nucleic Acid Compositions**  
Inventors: FIKES *et al.*  
Our Ref: 2060.0140000/EKS/HCC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Revocation of Prior Power of Attorney and Appointment of New Attorneys of Record;
2. Certificate Under 37 C.F.R. § 3.73(b); and
3. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
August 23, 2002  
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Helene C. Carlson  
Agent for Applicants  
Registration No. 47,473

Enclosures

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SKGF Rev. 2/15/02 dcw